



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/621.552
		Filing Date	July 17, 2003
		First Named Inventor	Bobby Hu
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	4	Attorney Docket Number	2186-00400 DVF

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  PTO Form 1449 (1 p) and 5 References
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual Name	DEREK V. FORINASH
Signature	
Date	February 17, 2004

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or Printed Name	M. A. CRABTREE
Signature	
Date	February 17, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and selection option 2

No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Supplemental Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Supplemental Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,



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ATTORNEY FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bobby Hu

SERIAL NO.: 10/621,552

FILED: July 17, 2003

FOR: Reversible Ratcheting Tool With a  
Smaller Head and Improved Driving  
Torque

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ART UNIT: 1724

EXAMINER:  
Not Yet Assigned

CONFIRMATION NO. 1339

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Atty. Dkt. No.: 2186-00400  
Date: February 17, 2004

Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement and Form PTO 1449 filed in the above-referenced case on October 28, 2003.

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

The non-English-language document cited is relevant in that it was cited in the attached EPO Search Report.

It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

The cited reference is the Applicant's Appeal Brief filed in the Taiwanese Intellectual Property Office and translation thereof corresponding to the Decision by the Board of Appeals and answer to the Appeal Brief, which were listed on the PTO Form 1449 filed on October 28, 2003.

Form PTO-1449 (Modified)						Atty. Docket No. 2186-00400		Serial No. 10/621,552	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)						Applicant Bobby Hu			
						Filing Date July 17, 2003		Group 1724	

O I P E  
 FEB 20 2004  
 PATENT & TRADEMARK OFFICE

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
AA	3,269,496	08-30-1966	Kilness	192	43.2		

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation		
AB	299 07 467	09.09.99	German	B25 B	13/02	YES	NO
AC	299 10 932	30.09.99	German	B25 B	23/02		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
AD	Appeal Brief against Office Action on Opposition to Patent Application No. 089200570 P01 in Taiwan and translation of same (31 p.)	
AE	EPO Search Report for Appl. No. EP 00 12 6902 dated 17 February 2004 (3 p.)	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.